


FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/805,590	ATTY. DOCKET NO.: C01104-70016
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		FILING DATE: March 13, 2001	CONFIRMATION NO.: 1160
		APPLICANT: Kevin J. Dowling et al.	
Sheet 1 of 1		GROUP ART UNIT: 2821	EXAMINER: A, Minh D.

U.S. PATENT DOCUMENTS

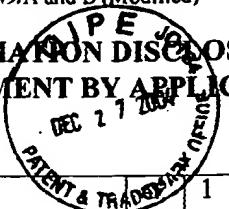
Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
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		Office/Country	Number	Kind Code			
MA		WO	99/30537	A1	Proquip Special Projects Limited	06-17-1999	Y
MA		WO	01/73818	A1	Hong	10-04-2001	Y
MA		DD	205307				Y
MA		DE	19651140	A1			Y
MA		DE	29607270	U1			Y
EXAMINER <i>M. D. B. A.</i>					DATE CONSIDERED <i>9/22/05</i>		

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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Sheet	1	1	

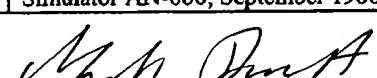
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		Office/Country	Number	Kind Code			
MA		JP	9139289			05-27-1997	Abstract

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
MA		Color Kinetics v. Super Vision International, Inc., "Preliminary Expert Witness Report of Dr. David I. Kennedy Prepared and Submitted on Behalf of Super Vision International, Inc.," United States District Court of Massachusetts Case No. 02 CV 11137 MEL.		
MA		G. MacGregor et al., "Solid-State Displays for CRT Replacement in Data Annotation Systems," Optotek Limited, Proceedings, IEEE-SID Conference on Display, Devices and Systems, 1974, Washington, DC, pp. 59-65.		
MA		P.G. Wareberg and D.I. Kennedy, "Flat-Panel Video Resolution LED Display System," Optotek Limited, IEEE, 1982, pp. 746-751.		
MA		R.J. Spiger, "LED Multifunction Keyboard Engineering Study," June 1983.		
MA		D. Effer et al., "Fabrication and Properties of Gallium Phosphide Variable Colour Displays," July 1973.		
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MA		Des Keppel, "Tech Tips, Pulse Adding Circuit," ETI November 1986		
MA		Multicolour Pendant, Maplin Magazine, Dec. 1981.		
MA		"Solid-State Dark Room Lighting, Elektor", October 1983.		
MA		Open Letter to the USPTO, October 14, 2004, http://www.artisticlicense.com/app.notes/appnote027.pdf		
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MA		Optotek Limited, Technical Manual for Multicolor Interactive Switch Module AN-601 and Input Simulator AN-600, September 1986.		
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			8/22/05	

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MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Expert Witness Rebuttal Report of Dr. David I. Kennedy Prepared and Submitted on Behalf of Super Vision International, Inc."	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc."	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Infringement"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Invalidity"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on Super Vision's 'Badmouthing' Claims"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Memorandum in Support of its Motion for Summary Judgment on the Issue of Inequitable Conduct"	
MA		United States District Court District of Massachusetts, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Color Kinetics' Opening Memorandum Concerning Claim Construction"	
MA		United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Inequitable Conduct."	
MA		United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Infringement"	
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MA		United States District Court, Case No. 02 CV 11137 MEL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Opening Memorandum Concerning Claim Construction"	
EXAMINER		DATE CONSIDERED	
MAH BUA		8/25/03	

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Form PTO-1449

INFORMATION DISCLOSURE CITATION

IN AN APPLICATION
(Use several sheets if necessary)Docket Number (Optional)
CKB-016.01Application Number
09/805,590Applicant
Kevin J. DowlingFiling Date
March 13, 2001Group Art Unit
2821

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EXAMINER INITIAL ENT & TRADEMARK	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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MA	5,521,708	05/28/1996	Beretta	356	402	11/25/1992
MA	5,532,848	07/02/1996	Beretta	395	109	8/10/1998
MA	5,607,227	03/04/1997	Yasumoto et al.	362	249	8/24/1994
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MA	6,056,420	05/02/2000	Wilson et al.	362	249	8/13/1998
MA	6,335,548	01/01/2002	Roberts	257	98	10/22/1999
MA	6,357,893	03/19/2002	Belliveau	362	285	3/15/2000
MA	6,441,943	08/27/2002	Roberts	359	267	10/22/1999
MA	6,676,284	01/13/2004	Wynne Willson	362	555	9/3/1999
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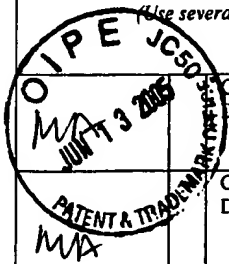
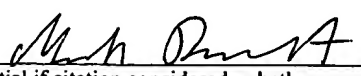
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						YES	NO
MA	04-015685 (Abstract Only)	21.01.1992	JP	—	—	✓	
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MA	POLLACK, A., "The Little Light Light That Could," <i>The New York Times</i> , April 29, 1996, Business/Financial Desk, Section D, page 1, col. 2, Abstract Only.
MA	CHINNOCK, C., "Blue Laser, Bright Future," <i>Byte</i> , August 1995, vol. 20, Abstract Only.
MA	<i>Electronics</i> , vol. 67, no. 20, pg. A4, Abstract Only.
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Form PTO-1449		Docket Number (Optional) CKB-016.01	Application Number 09/805,590
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant Kevin J. Dowling	
		Filing Date March 13, 2001	Group Art Unit 2821
	Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Russell Martin," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.		
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EXAMINER			DATE CONSIDERED 8/10/05
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
MA	US2004/0218387	11/04/2004	Gerlach	362	231	3/18/04	
MA	US2005/0122064	06/09/2005	Chevalier et al.	315	291	1/21/05	
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						YES	NO
MA	JP 10-071951	17.03.1998	Japan	—	—	✓	Abstract Only
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MA	Office Action Mailed November 24, 2005 in U.S. Application Serial No. 09/805,368.						
MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Wayne Howell in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.						
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MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Affidavit of Alfred D. Ducharme," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.						

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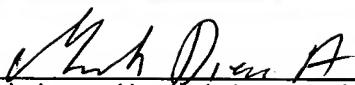
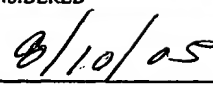
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Applicant
Dowling et al.

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MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Bruce Hagopian in Support of Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
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MA	Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Brent W. Brown," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
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